## Deposition and Characterization of ALD Dielectric Materials for Metal-Insulator-Semiconductor AlGaN/GaN High Electron Mobility Transistors

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## **Abstract:**

We continue our investigation of atomic layer deposition (ALD) for gate dielectric materials in AlGaN/ GaN metal-insulator-semiconductor high electron mobility transistors (MISHEMTs).  $Al_2O_3$ ,  $Si_3N_4$ , and  $SiO_2$  were each tested. Pre- and post-deposition processes are found to greatly affect the quality of the dielectric and the dielectric/semiconductor interface. In particular, deposition of dielectric material as the first step in the fabrication process flow was found to be important. All devices fabricated with dielectric deposited after ohmic metallization exhibited high leakage currents and poor gate control. This presents a problem for  $Al_2O_3$  MISHEMTs, since the  $Al_2O_3$  has a relatively limited thermal budget and degrades at the temperatures necessary for ohmic metallization of GaN (~850°C).

## **Summary of Research:**

The next generation of (Al)GaN power switches will likely require MIS gates in order to operate as normallyoff devices with low leakage and high gate swing. Adding a gate dielectric however, complicates the process flow as additional considerations for stability of the dielectric during subsequent processing must be made, and the additional dielectric/semiconductor interface may contain a high density of interface trap states ( $D_{it}$ ), leading to issues such as high dynamic on resistance ( $R_{ow}$ ) and premature breakdown.

To determine the effect of forming a gas  $(95\% N_2/5\% H_2)$  anneal on the Al<sub>2</sub>O<sub>3</sub>/GaN interface, unintentionally doped (UID) GaN was grown on c-plane sapphire using metal-organic chemical vapor deposition (MOCVD) — 20 nm of Al<sub>2</sub>O<sub>3</sub> was deposited using the Oxford FlexAL

| Temp (°C) | 1 min | 10 min | 20 min |
|-----------|-------|--------|--------|
| 600       | Х     |        | Х      |
| 475       |       | Х      |        |
| 350       | Х     | Х      | X      |

Table 1: Forming gas annealing conditions applied to  $Al_2O_3$  after deposition.

ALD system at CNF; the substrate was maintained at 300°C during the deposition.

After the deposition, samples were annealed in forming gas for a range of temperatures and times, as outlined in Table 1. MIS capacitors were subsequently fabricated, and the conductance method was used to extract the  $D_{it}$ . The  $D_{it}$  of the samples annealed at 350°C for 10-20 min was found to be over an order of magnitude less than that of the sample annealed at 600°C for 20 min and approximately half an order of magnitude less than the samples with as-deposited material, annealed at 350°C for 1 min, annealed at 475°C for 10 min, and annealed at 600°C for 1 min.

This indicates that annealing in forming gas for long times at lower temperatures is beneficial, while the material starts to degrade at higher temperatures. As ohmic metallization temperatures for GaN are ~ 850°C, this means  $Al_2O_3$  must be deposited after the ohmic metallization [1].

To compare the necessary ohmic-first  $Al_2O_3$  MISHEMTs with dielectric-first  $Si_3N_4$  and  $SiO_2$  MISHEMTs (these materials have high thermal budget), MISHEMTs were fabricated, using the Oxford FlexAL ALD system at CNF to deposit all dielectric materials; ~ 15 nm of each material was deposited, as measured using the Woollam spectroscopic ellipsometer at CNF.

As shown in Figure 1, in all cases, several orders of magnitude reduction in gate leakage current relative to a Schottky-gated HEMT was observed. However, the sample with  $Al_2O_3$  gate dielectric (deposited after ohmic metallization) exhibited poor gate control, and instead showed a high  $I_{DS}$  regardless of the applied  $V_{GS}$  (Figure 2). It is believed that this is due to a highly defective and/ or contaminated interface between the dielectric and semiconductor, imparting a high fixed positive surface charge, making it impossible to deplete the 2DEG channel before dielectric breakdown [2].

In order to potentially recover the GaN surface and improve the quality of the dielectric/semiconductor interface, surface cleaning treatments were performed on GaN; using X-ray photoelectron spectroscopy (XPS), it was found that a cleaning procedure consisting of consecutive NH<sub>4</sub>OH, HCl, and HF resulted in the lowest surface coverage of carbon and oxygen on the GaN [2]. This cleaning procedure was applied to  $Al_2O_3/AlGaN/GaN$  MISHEMT devices prior to dielectric deposition.

After ohmic contact metallization, samples were cleaned with NH<sub>4</sub>OH, HCl, and HF immediately before being loaded in the Oxford FlexAL ALD chamber at CNF; 8 nm of Al<sub>2</sub>O<sub>3</sub> was deposited at 300°C. Completed devices exhibited more gate control than devices fabricated in the same run with only acetone/IPA clean prior to Al<sub>2</sub>O<sub>3</sub> deposition, but high I<sub>OFF</sub> ~ 190 mA/mm was measured at V<sub>DS</sub> = 10 V, compared to I<sub>ON</sub> ~ 340 mA/mm at V<sub>DS</sub> = 10 V and V<sub>GS</sub> = 0 V.

Even with surface treatments, the surface is not fully recovered, and additional measures must be taken to



**Figure 1, left:** Gate leakage current for  $Al_2O_3$ ,  $Si_3N_4$ , and  $SiO_2$  MISHEMTs compared with conventional Schottky gate HEMT.  $V_{DS} = 5.5$  V. **Figure 2, right:** Output characteristics for  $Al_2O_3$ ,  $Si_3N_4$ , and  $SiO_2$  MISHEMTs compared with conventional Schottky gate HEMT. The highest curves are at  $V_{GS} = 0$  V, subsequent curves incremented  $V_{GS}$  down by 0.5 V. (See pages vi-vii for full color versions of both figures.)

preserve the semiconductor surface if  $Al_2O_3$  is to be a viable gate dielectric.

This is the subject of ongoing research.

## **References:**

- [1] B. McEwen, I. Mahaboob, E. Rocco, K. Hogan, R. Green, F. Nouketcha, T. Murray, V. Kaushik, A. Lelis, F. Shahedipour-Sandvik. "Investigation of the Effects of Forming Gas Annealing on Al<sub>2</sub>O3/GaN Interface," submitted.
- [2] B. McEwen, I. Mahaboob, K. Hogan, E. Rocco, V. Meyers, S. Tozier, A. Lelis, R. Green, F. Nouketcha, F. Shahedipour-Sandvik. "Effects of Semiconductor Surface Treatments and Dielectric Anneal on the Electrical Characteristics of GaN-Based Metal-Insulator-Semiconductor Devices." Poster presented at: 13th International Conf on Nitride Semiconductors; 2019 Jul 7-12; Bellevue, WA.